

DANISH ASSOCIATION OF RESEARCH MANAGERS AND ADMINISTRATORS

June 3-4, 2014 - Copenhagen

IMPACT OF EXPORT CONTROLS ON INTERNATIONAL COLLABORATION

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Facility Security Officer

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SRAInternational

www.srainternational.org

AGENDA

- Overview of Principal Policy
- Brief Review of Export Regimes
- Overview of Export Regulations in the United States
- Deemed Exports
- Discussion Topics
 - Academic Climate
 - International Collaborations
 - Traveling Abroad



WASSENAAR ARRANGEMENT

Participating States are:

- Argentina, Australia, Austria, Belgium, Bulgaria, Canada, Croatia, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Japan, Latvia, Lithuania, Luxembourg, Malta, Mexico, Netherlands, New Zealand, Norway, Poland, Portugal, Republic of Korea, Romania, Russian Federation, Slovakia, Slovenia, South Africa, Spain, Sweden, Switzerland, Turkey, Ukraine, United Kingdom and United States.



OVERVIEW OF POLICY



Wassenaar Arrangement

on Export Controls for Conventional Arms and Dual-Use Goods and Technologies

Guidelines & Procedures [Home](#) | [Sitemap](#) | [Contact Us](#) | [Search](#)

[E-Mail this document](#)

Guidelines & Procedures, including the Initial Elements

as amended and updated in 2001, 2003, 2004, 2007 and 2011

- [Initial Elements](#)
- [Elements for Objective Analysis and Advice concerning Potentially Destabilising Accumulations of Conventional Weapons](#)
- [Best Practice Guidelines for Exports of Small Arms and Light Weapons \(SALW\)](#)
- [Elements for Export Controls of Man-Portable Air Defence Systems \(MANPADS\)](#)
- [Elements for Effective Legislation on Arms Brokering](#)
- [Statement of Understanding on Control of Non-Listed Dual-Use Items](#)
- [Best Practices for Implementing Intangible Transfer of Technology Controls](#)
- [Best Practices to Prevent Destabilising Transfers of Small Arms and Light Weapons \(SALW\) through Air Transport](#)
- [Best Practice Guidelines on Internal Compliance Programmes for Dual-Use Goods and Technologies](#)
- [Best Practice Guidelines on Subsequent Transfer \(Re-Export\) Controls for Conventional Weapons Systems contained in Appendix 3 to the WA Initial Elements](#)
- [Elements for Controlling Transportation of Conventional Arms between Third Countries](#)

Introduction

F.A.Q.

Participating States/Links

Guidelines & Procedures incl. Initial Elements

Control Lists

All Public Documents

Secretariat

Related Links



OTHER COUNTRIES REGULATIONS



The screenshot shows the top of a website with the U.S. Department of State seal on the left. The main header reads "A Resource on Strategic Trade Management and Export Controls" and includes a search bar with the placeholder text "Input Search Term Here". A dark blue navigation bar contains the following menu items: HOME, THE EXBS PROGRAM, OVERVIEW OF U.S. EXPORT CONTROL SYSTEM, BEST PRACTICES, RED FLAGS AND WATCH LISTS, and RESOURCES.

Below the navigation bar, the breadcrumb trail reads: "You Are Here: Home > Resources > [International Export Control Regimes](#)".

International Export Control Regimes

Governments around the world are collectively pursuing the implementation of export controls in defense against the proliferation of WMD and their precursors. The European Union outlines its position and provides useful information in the pages below. Countries with English language web sites dedicated to export control and nonproliferation are also listed.

[EU: Security-Related Export Controls](#)

- [Australia](#)
- [Bulgaria](#)
- [Canada](#)
- [China - Taiwan](#) ←
- [Czech Republic](#)
- [Hong Kong](#) ←
- [Hungary](#)
- [India](#) ←
- [Japan](#)
- [Romania](#)
- [Singapore](#) ←
- [United Kingdom](#)



EUROPEAN UNION

- Recent amendment – April 2012
 - Implements dual use controls
 - Wassenaar Arrangement
 - Missile Technology Control Regime (MTCR)
 - Nuclear Supplier's Group (NSG)
 - Australian Group
 - Chemical Weapons Convention (CWC)



EU – DUAL USE ITEMS: 9 CATEGORIES

- Nuclear materials, facilities and equipment
- Special materials and related equipment
- Materials Processing
- Electronics
- Computers
- Telecommunications and information security
- Navigation and avionics
- Marine
- Aerospace and propulsion



AUSTRALIA – DEFENCE EXPORT CONTROL OFFICE



Australian Government
Department of Defence

Defence Export Control Office

Home

About

Forms

FAQs

Notices

Links

Contact

Notices

System Upgrade 3rd to 4th
September 2013

Transition to the new
Defence Export Control
System

Firearms exports from
Australia to Vanuatu

Welcome to the DECO website

The Defence Export Control Office (DECO) is responsible to the Minister for Defence for regulating the export of defence and dual-use goods as part of Australia's system of export controls.

DECO provides a range of services and information to assist exporters understand and comply with Australia's export control requirements.

For more information on how DECO can support you please follow the links below.

News

Australia-US Defence
Trade Cooperation Treaty
ratified

AIG Controlled Exports and
Technology Best Practice
Guide

United Nations adopts the
Arms Trade Treaty



CANADA – FOREIGN AFFAIRS, TRADE AND DEVELOPMENT



Foreign Affairs, Trade and Development Canada / Affaires étrangères, Commerce et Développement Canada

Canada

Foreign Affairs, Trade and Development Canada
international.gc.ca

Français | Home | Contact Us | Help | Search

canada.gc.ca

Home > Trade > Export and Import Controls

Trade

The Honourable Ed Fast

Parliamentary Secretaries

Your Next Market

Trade Commissioner Service

Export and Import Controls

Exporting

Importing

Systems

Exporting

In accordance with the [Export and Import Permits Act](#), the Export and Import Controls Bureau is responsible for issuing permits and certificates for various products included on the [Export Control List \(ECL\)](#).

Products subject to export controls include the following - further information may be obtained by selecting one of these:

- [Military and Strategic Goods and Technology](#)
- [Softwood Lumber](#)
- [Firearms](#)
- [Sugar and Sugar Containing Products](#)
- [Peanut Butter](#)
- [Logs and](#)
- [United States Origin Goods and Technologies](#)



CHINA – TAIWAN CUSTOMS ADMINISTRATION

The screenshot shows the official website of the Customs Administration, Ministry of Finance. At the top left is the logo and name in Chinese (財政部關務署) and English (Customs Administration, Ministry of Finance). A navigation bar contains links for 'About CA', 'Laws & Regulations', 'Travelers', 'Import/Export', 'Border Security', and 'FAQ'. A search bar is located below the navigation bar. The main banner features a large image of a customs building and an airplane, with the text 'Major Policies for Executive Yuan' overlaid. Below the banner is a search bar with the text 'Customs Administration' and a 'GO' button. On the left side, there is a 'Latest Update: 2013/09/20' section with a list of links: '01. Online Application', '02. Download', '03. Customs Valuation', '04. Rules of Origin', '05. Tariff Database', '06. Trade Statistics', and '07. Currency Exchange Rates'. On the right side, there is a 'HOME>Import/Export' section with links for 'Import/Export', 'Customs Valuation', 'Import Postal Articles', 'Export Postal Articles', and 'FAQ'. A 'TOP' button is located at the bottom right of the page.

財政部關務署
Customs Administration, Ministry of Finance

中文版 | FAQ | Sitemap | Contact us | Add to Favorites

About CA | Laws & Regulations | Travelers | Import/Export | Border Security | FAQ

Service. Security. Integrity. Facilitation.

Major Policies for Executive Yuan

Service
Security
Facilitation

Customs Administration

GO Enter Keyword GO

Latest Update: 2013/09/20

01. Online Application
02. Download
03. Customs Valuation
04. Rules of Origin
05. Tariff Database
06. Trade Statistics
07. Currency Exchange Rates

HOME>Import/Export

Import/Export

Customs Valuation. Import Postal Articles.
Export Postal Articles. FAQ.

TOP



CZECH REPUBLIC

MINISTRY OF INDUSTRY AND TRADE



MINISTRY OF
INDUSTRY AND TRADE

Ministry of Industry and Trade of the Czech Republic

[Minister and ministry](#) | [Czech](#)

Search | [Advanced search](#)

[Home](#) | [Business support](#) | [Foreign trade](#) | [Consumer Protection](#) | [EU and Internal Market](#) | [Energy and raw materials](#) | [Industry and construction](#) | [e-Comm. and post](#)

[Home](#) » [Foreign trade](#) » [Licensing administration](#) » [International control regimes \(‘dual use goods’\)](#) » [Sanctions and restrictive measures](#)

In this section

- ▶ [Conception and strategy](#)
- ▶ [Trade Policy Creation, Principles and Strategy](#)
- ▶ [International Economic Organisations](#)
- ▶ [EU Trade Relations with Third Countries](#)
- ▶ [Market Access Strategy](#)
- ▶ [Trade policy measures](#)
- ▶ [Intellectual Property Rights](#)
- ▶ [Export support](#)
- ▶ [Trade Policy Relations](#)
- ▼ [Licensing administration](#)
 - [About licensing administration](#)
 - [About e-Licensing administration](#)
 - [Licensing operation according to EU legislation for imports of textile, clothing](#)
 - [Quantitative import limitations of potassium chloride originating in Belarus](#)

Sanctions and restrictive measures

Published: 9.12.2008  [Tisknout dokument](#)

Author: 07400

International and national sanctions and restrictive measures.

International sanctions and restrictive measures are applied within the framework of the Common Foreign and Security Policy imposed by the [EU](#). They are imposed on an autonomous EU basis or they implement binding Resolution of the Security Council of the United Nations.

They comprise trade or financial restrictions, or other measures and may target governments of third countries or non—state entities and individuals.

The Ministry of Finance, Financial Analytical Department (FAÚ), is the authority responsible for implementation and coordination of the international sanctions and embargoes.

There are **national** restrictive measures in force, too, namely Act No 99/2000 Coll., Banning Supplies for the Nuclear Power Plant Busher (Iran) or measures taken on the basis of the so called "Catch—All Principle" towards exporters of machine tools to Iran and Syria and exporters of various items to the North Korea.



HONG KONG

The screenshot displays the official website of the Customs and Excise Department of the Hong Kong Special Administrative Region. The header includes the department's logo, name, and the Government of the Hong Kong Special Administrative Region. A search bar and navigation links for 'SITE MAP' and an email icon are present. A banner image features a ship, a truck, and customs officers with the text 'COMMITMENT & EXCELLENCE'. The breadcrumb trail indicates the current page is 'Prohibited Articles' under 'Cargo Clearance'. A left-hand navigation menu lists various services, with 'Cargo Clearance' highlighted. The main content area defines prohibited articles and lists four sub-topics: 'Licence and Permit Application', 'Transshipment Cargo Exemption Scheme', 'Prohibited Articles in Transit', and 'Prohibited Articles in Air Transshipment'. Print and basket management options are available at the top right of the content area. Navigation buttons for 'Previous Page' and 'Top' are at the bottom.

Customs and Excise Department
The Government of the Hong Kong Special Administrative Region

GovHK 香港政府一站通 繁體版 简体版

SEARCH Enter search keyword(s) | SITE MAP |

COMMITMENT & EXCELLENCE

Home > Cargo Clearance > Prohibited Articles

Print this page Add to print basket Manage print basket

Prohibited Articles

Prohibited articles are articles the importation / exportation (for some cases the transshipment) of which are prohibited and controlled by licence, permit or certification issued in advance by the relevant Government departments and subject to conditions imposed thereof.

- ▶ [Licence and Permit Application](#)
- ▶ [Transshipment Cargo Exemption Scheme](#)
- ▶ [Prohibited Articles in Transit](#)
- ▶ [Prohibited Articles in Air Transshipment](#)

◀ Previous Page Top ▶



JAPAN



[Japanese Contact Us Site map](#)

GO! [Advanced Search](#)



[> Main Content](#) [> FAQ](#) [> Site Map](#)

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Site Search [Search](#) [> Advanced Search](#)

[Home](#) [About METI](#) [Information](#) [Policies](#) [Statistics](#) [Contact Us](#) [日本語](#)

[>](#) [Policies](#) [>](#) [Policy Index](#) [>](#) [External Economic Policy](#) [>](#) [Trade Control](#)

[> Japanese](#) [Print](#)

Trade Control

[>](#) [Overview](#) [>](#) [Press Releases and Related Information](#) [>](#) [Resources](#) [>](#) [Contact Point](#)

Overview

[>](#) [Trade Control Policy -Overview \(PDF:79KB\)](#)

Policies

Policy Index

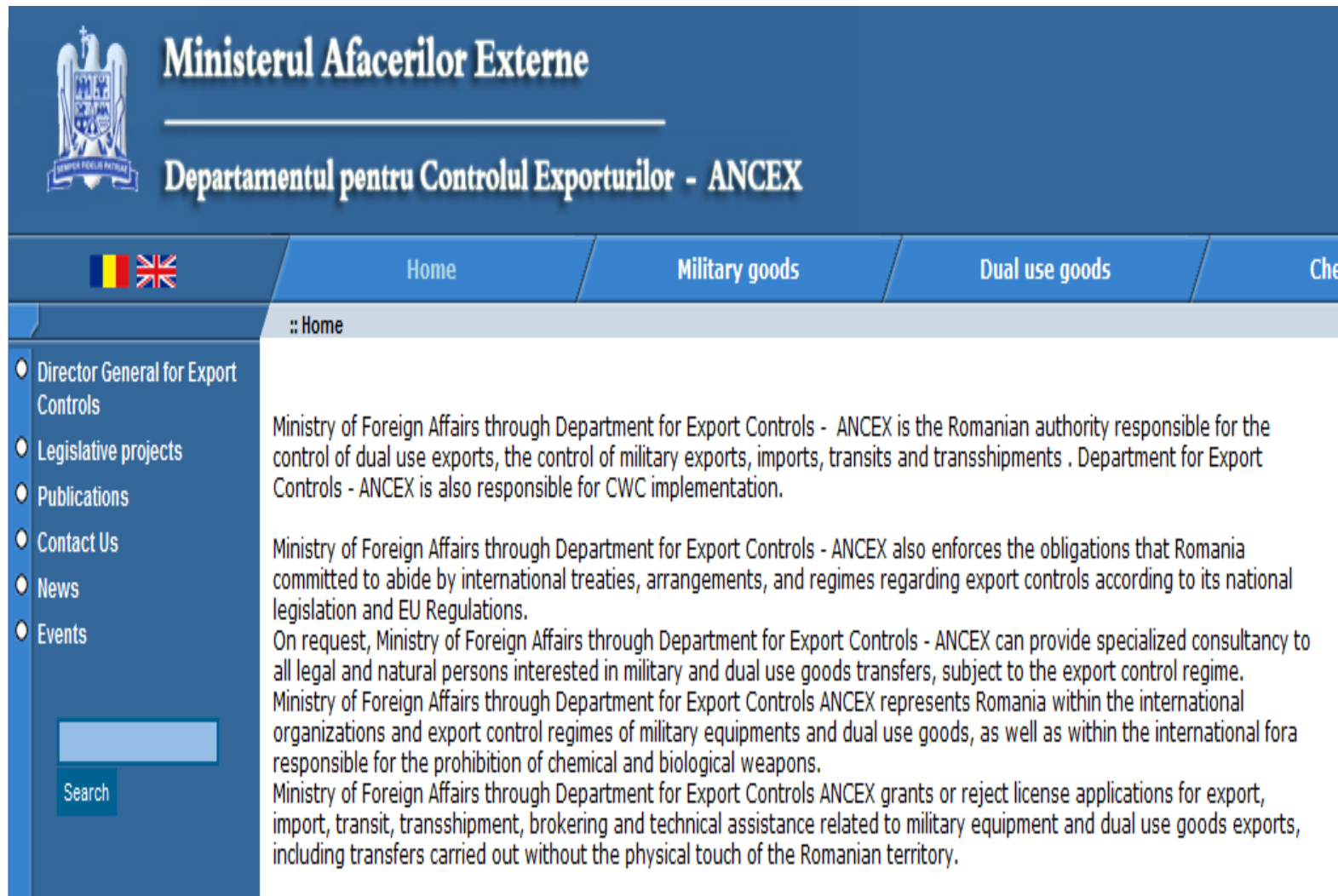
[> Economic & Industrial Policy](#)

[> External Economic Policy](#)


[> Manufacturing, Information,
and Distribution/Service
Policy](#)



ROMANIA



The screenshot shows the website for the Romanian Department for Export Controls (ANCEX). The header features the Romanian coat of arms and the text "Ministerul Afacerilor Externe" and "Departamentul pentru Controlul Exporturilor - ANCEX". Below the header is a navigation bar with tabs for "Home", "Military goods", "Dual use goods", and "Chemical goods". The main content area is titled ":: Home" and contains three paragraphs of text. A left sidebar lists navigation options: "Director General for Export Controls", "Legislative projects", "Publications", "Contact Us", "News", and "Events". At the bottom of the sidebar is a search box with a "Search" button.



Home Military goods Dual use goods Chemical goods

:: Home

- Director General for Export Controls
- Legislative projects
- Publications
- Contact Us
- News
- Events

Search

Ministry of Foreign Affairs through Department for Export Controls - ANCEX is the Romanian authority responsible for the control of dual use exports, the control of military exports, imports, transits and transshipments . Department for Export Controls - ANCEX is also responsible for CWC implementation.

Ministry of Foreign Affairs through Department for Export Controls - ANCEX also enforces the obligations that Romania committed to abide by international treaties, arrangements, and regimes regarding export controls according to its national legislation and EU Regulations.

On request, Ministry of Foreign Affairs through Department for Export Controls - ANCEX can provide specialized consultancy to all legal and natural persons interested in military and dual use goods transfers, subject to the export control regime.

Ministry of Foreign Affairs through Department for Export Controls ANCEX represents Romania within the international organizations and export control regimes of military equipments and dual use goods, as well as within the international fora responsible for the prohibition of chemical and biological weapons.

Ministry of Foreign Affairs through Department for Export Controls ANCEX grants or reject license applications for export, import, transit, transshipment, brokering and technical assistance related to military equipment and dual use goods exports, including transfers carried out without the physical touch of the Romanian territory.





Ministerul Afacerilor Externe

Departamentul pentru Controlul Exporturilor - ANCEX

[Home](#)[Military goods](#)[Dual use goods](#)[Che](#)

:: Dual use goods

- [Legislation](#)
- [Control list](#)
- [Authorization by license](#)
- [Form](#)
- [Consultancy](#)
- [Embargo](#)

Visitors:
196.993

By dual-use goods means those products and technologies that are normally civil uses, but because of their nature, may have military applications and can contribute to the development and production of weapons of mass destruction.

Export control of dual-use is an important component of Romania's foreign policy in the period after 1990. The legislative framework and inter-institutional cooperate do increase our contribution to strengthening security and regional stability and global.

Aimed at control of products and technologies that can contribute to the development, production and use of weapons of mass destruction, export control is the size of international trade.

Developments in this area are strongly influenced by trends in globalization of world economy, including those relating to exports of arms and of dual-use goods civilian and military.

The existence of a developed system of export control of products and a national authority able to effectively manage this area was one of the conditions for the admission of Romania into NATO and integration into the European Union.

Export control is needed and serves the following areas:

- collective security of Romania and its allies;
- national security;
- need for foreign policy;
- obligations arising from international treaties and commitments;
- policy of nonproliferation of weapons of mass destruction;
- concerns about domestic terrorism and repression in certain countries;
- ensuring respect for human rights



SINGAPORE



SINGAPORE CUSTOMS

CONTACT INFO

FEEDBACK

SITE MAP

Search

[Clear](#) SG

Customs Within All Government Websites

[Home](#) | [About Us](#) | [eServices](#) | [Legislation](#) | [Publications](#) | [News & Events](#) | [Careers](#) | [FAQs](#) | [Resources](#) | [Useful Links](#)

Information for

- Importers
- Exporters
- Manufacturers
- Freight Forwarders/Declaring Agents
- Individuals

Traders & Businesses

[Home](#) > [Traders & Businesses](#) > [Advance Export Declaration](#) > Advance Export Declaration (AED)



Advance Export Declaration (AED)

II) Scope of AED

The change in export declaration requirement affects only the export of non-controlled and non-dutiable goods by sea and air. There will be no change to the current advance export declaration requirements for export of controlled or dutiable goods by sea and air, or all goods by road and rail. **Table 1** below summarises the changes to export declaration requirement.

	Type	From	To
1	Strategic goods under Tier 1 of the Strategic Trade Scheme	5 working days before export	No Change
2	Dutiable goods	Before export	
3	Controlled goods		



UNITED KINGDOM



Export Control Organisation

What we do

The Export Control Organisation (ECO) issues licences for controlling the export of strategic goods.

Strategic goods include:

- military equipment
- [dual-use goods](#) - goods that can be used for both civil and military purposes
- products used for torture
- radioactive sources

ECO aims to help the UK's businesses export responsibly and within the law. Our licensing process asks what is being exported, to where and for whom.



Controls on dual-use goods

Exports of dual-use goods controlled by EU Dual-Use Regulation are wide ranging, encompassing goods in the telecoms, avionics and security sectors.

This replaces



Contents

[Introduction](#)

[Current regulations and amendments to controls on dual-use goods](#)

[What the controls on dual-use goods cover](#)

[Further information on licensing of dual-use items](#)

[Further information](#)

See more like this



Introduction

Dual-use items are goods, software, technology, documents and diagrams which can be used for both civil and military applications. They can range from raw materials to components and complete systems, such as aluminium alloys, bearings, or lasers. They could also be items used in the production or development of military goods, such as machine tools, chemical manufacturing equipment and computers.

The main legal basis for controls on dual-use goods is the EU Dual-Use Regulation - also known as Council Regulation (EC) No 428/2009 (and associated legal amendments). This legislation is directly applicable in all EU countries, including the UK.

Too much detail?

See these quick guides

[Starting to export](#)



UNITED STATES

- U.S. Department of Commerce – Bureau of Industry and Security
 - Export Administration Regulations
- U.S. Department of State
 - International Traffic in Arms Regulations
- U.S. Department of Treasury
 - Office of Foreign Assets Control



DEEMED EXPORT

○ Definition

- Not just physical transfers

○ Examples

- Sight
- Conversation
- Meetings
- Presentations



DISCUSSION TOPICS

- Academia – Culture Change?
 - Staying competitive
- Collaborating with Other Countries
- Deemed Exports – Impact on Faculty
 - Multi National Laboratories
- Travel Tips
 - Laptops
 - Supplies and samples
- Travel Briefing Example



**Sandra M. Nordahl, CRA
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and
Director, Sponsored Research
Contracting and Compliance
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